

1775

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PTO/SB/21 (08-00)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/992,786		
	Filing Date	November 13, 2001	
	First Named Inventor	John BARNES	
	Group Art Unit	1775	
	Examiner Name	To Be Assigned	
Total Number Of Pages In This Submission	8 + 41 REFERENCES	Attorney Docket No.	220772008900

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ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none">• Form PTO-1449 + copy - 4 pages• 41 (forty-one) References• Return Receipt Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018
Signature	Rimas T. Lukas, Reg. No. 46,451
Date	May 9, 2002

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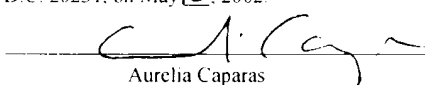
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PATENT
Docket No. 220772008900

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Aurelia Caparas

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

John BARNES

Serial No.: 09/992,786

Filing Date: November 13, 2001

For: THERMALLY TOLERANT SUPPORT
STRUCTURE FOR A CATALYTIC
COMBUSTION CATALYST

Examiner: To Be Assigned

Group Art Unit: 1775

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**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.


Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 220772008900. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: 5/7, 2002

Respectfully submitted,

By: 
Rimas T. Lukas
Registration No. 46,451

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-5905
Facsimile: (650) 494-0792

Form PTO-1449

Docket Number 220772008900

Application Number 09/992,786

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

Applicant

John BARNES

Filing Date November 13, 2001

Group Art Unit 1700

Mailing Date May 10, 2002

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	06/09/1942	2,285,944	Rigby			
	2.	06/02/1964	3,135,703	Sill			
	3.	11/10/1964	3,156,094	Nash et al.			
	4.	09/02/1975	3,903,396	Boaz et al.			
	5.	12/16/1975	3,926,565	Birtigh et al.			
	6.	12/30/1975	3,928,961	Pfefferle			
	7.	03/02/1976	3,940,923	Pfefferle			
	8.	11/23/1976	3,993,600	Hunter			
	9.	02/07/1978	4,072,007	Sanday			
	10.	01/16/1979	4,134,733	Völker et al.			
	11.	08/25/1981	4,285,193	Shaw et al.			
	12.	11/17/1981	4,301,035	Risse			
	13.	08/09/1983	4,397,356	Retallick			
	14.	11/08/1983	4,413,470	Scheihing et al.			
	15.	09/12/1989	4,864,811	Pfefferle			
	16.	10/03/1989	4,870,824	Young et al.			
	17.	02/02/1993	5,183,401	Dalla Betta et al.			
	18.	08/03/1993	5,232,357	Dalla Betta et al.			
	19.	09/28/1993	5,248,251	Dalla Betta et al.			
	20.	10/05/1993	5,250,489	Dalla Betta et al.			
	21.	11/02/1993	5,258,349	Dalla Betta et al.			
	22.	11/09/1993	5,259,754	Dalla Betta et al.			
	23.	02/28/1995	5,394,300	Yoshimura			
	24.	10/31/1995	5,461,864	Betta et al.			
	25.	04/30/1996	5,511,972	Dalla Betta et al.			
	26.	04/30/1996	5,512,250	Betta et al.			

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Form PTO-1449

Docket Number 220772008900

Application Number 09/992,786

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

John BARNES

Filing Date November 13, 2001

Group Art Unit: 1775

Mailing Date May 1, 2002

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27.	09/03/1996	5,551,239	Feeley et al.
28.	09/12/2000	6,116,014	Dalla Betta et al.
29.	04/17/2001	6,217,832 B1	Betta et al.

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FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation	
							YES	NO
	30.	09/15/1982	GB 2 094 172 A	Great Britain				
	31.	08/15/1984	JP 59142332	Japan			Abstract from gb.espacenet.com	
	32.	12/18/1984	JP 59225211	Japan			Abstract from gb.espacenet.com	
	33.	01/17/1990	EP 0 351 082 A2, A3	Europe				
	34.	02/21/1991	DE 3926 072	Germany			Abstract from gb.espacenet.com	
	35.	06/22/1995	WO 95/ 16516 A2, A3	WIPO				
	36.	09/12/1996	DE 195 08 217	Germany			Derwent Abstract	
	37.	12/18/1997	WO 97/47926 A1	WIPO				
	38.	03/30/2001	JP 2001083812	Japan			Derwent Abstract	
	39.	05/08/2001	JP 2001121505	Japan			Derwent Abstract	
	40.	05/29/2001	JP 2001147109	Japan			Abstract from gb.espacenet.com	

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	41.	Ultra-Low Emissions for Gas Turbines (2002) Xonon Cool Combustion by Catalytical Energy Systems Brochure, 4 pages.

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